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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
08/573,569	12/14/95	MAASSAB	203442025/01

HM12/0521

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EXAMINER  
PARKIN, J

ART UNIT	PAPER NUMBER
1648	

DATE MAILED: 05/21/99

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

# Office Action Summary

Application No.  
08/573,569

Applicant(s)  
Maassab et al.

Examiner  
Jeffrey S. Parkin, Ph.D.

Group Art Unit  
1648



☒ Responsive to communication(s) filed on 2 Mar 1999

☒ This action is **FINAL**.

☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire 3 month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

## Disposition of Claims

☒ Claim(s) 1, 4, 5, 7, 8, 12, 19, 20, 22, 23, and 25-27 is/are pending in the application.

Of the above, claim(s) 1, 4, 5, 7, 8, 19, 20, 22, 25, and 26 is/are withdrawn from consideration.

☐ Claim(s) \_\_\_\_\_ is/are allowed.

☒ Claim(s) 12, 23, and 27 is/are rejected.

☐ Claim(s) \_\_\_\_\_ is/are objected to.

☐ Claims \_\_\_\_\_ are subject to restriction or election requirement.

## Application Papers

☐ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.

☐ The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.

☐ The proposed drawing correction, filed on \_\_\_\_\_ is ☐ approved ☐ disapproved.

☐ The specification is objected to by the Examiner.

☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. § 119

☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

☐ All ☐ Some\* ☐ None of the CERTIFIED copies of the priority documents have been  
☐ received.

☐ received in Application No. (Series Code/Serial Number) \_\_\_\_\_.

☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\*Certified copies not received: \_\_\_\_\_

☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

## Attachment(s)

☐ Notice of References Cited, PTO-892

☐ Information Disclosure Statement(s), PTO-1449, Paper No(s). \_\_\_\_\_

☐ Interview Summary, PTO-413

☐ Notice of Draftsperson's Patent Drawing Review, PTO-948

☐ Notice of Informal Patent Application, PTO-152

--- SEE OFFICE ACTION ON THE FOLLOWING PAGES ---

Serial No.: 08/573,569  
Applicant(s): Maassab et al.

Docket No.: 203442025701  
Filing Date: 12/14/95

### **Detailed Office Action**

#### ***Continued Prosecution Application***

1. The request filed on 02 March, 1999, for a Continued Prosecution Application (CPA) under 37 C.F.R. § 1.53(d) based on parent Application Serial No. 08/573,569 is acceptable and a CPA has been established.

#### ***Status of the Claims***

2. The request of 02 March, 1999, did not contain any accompanying amendments or arguments. Applicants are reminded that this application contains claims 1, 4, 5, 7, 8, 19, 20, 22, 25, and 26 drawn to an invention non-elected with traverse in Paper No. 9. A complete response to the final rejection must include cancellation of non-elected claims or other appropriate action (refer to 37 C.F.R. § 1.144 and M.P.E.P. § 821.01). Claims 12, 23, and 27 are currently under examination.

#### ***35 U.S.C. § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. § 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 12 and 27 stand rejected under 35 U.S.C. § 102(b) as being anticipated by Cox et al. (1988), for the reasons of record in Paper Nos. 11 and 17. It is noted that Applicants did not file any arguments with the response. As previously set forth, this

teaching discloses a vaccine comprising an influenza A viral  
reassortant comprising nucleotides encoding the HA (wild-type), NA  
(wild-type), PB1 (cold-adapted), PA (cold-adapted), M (cold-  
adapted), and PB2 (including SEQ ID NO.: 15) polypeptides. These  
5 nucleotide sequences were linked in such a manner as to allow  
packaging of the reassorted polynucleotides into the virion.

**35 U.S.C. § 103(a)**

5. The following is a quotation of 35 U.S.C. § 103(a) which forms  
10 the basis for all obviousness rejections set forth in this Office  
action:

(a) A patent may not be obtained though the invention is not  
identically disclosed or described as set forth in section 102 of  
this title, if the differences between the subject matter sought  
15 to be patented and the prior art are such that the subject matter  
as a whole would have been obvious at the time the invention was  
made to a person having ordinary skill in the art to which said  
subject matter pertains. Patentability shall not be negated by  
the manner in which the invention was made.

20 Subject matter developed by another person, which qualifies as  
prior art only under subsection (f) or (g) of section 102 of this  
title, shall not preclude patentability under this section where  
the subject matter and the claimed invention were, at the time the  
25 invention was made, owned by the same person or subject to an  
obligation of assignment to the same person.

6. This application currently names joint inventors. In  
considering patentability of the claims under 35 U.S.C. § 103(a),  
30 the examiner presumes that the subject matter of the various claims  
was commonly owned at the time any inventions covered therein were  
made absent any evidence to the contrary. Applicant is advised of  
the obligation under 37 C.F.R. § 1.56 to point out the inventor and  
invention dates of each claim that was not commonly owned at the  
35 time a later invention was made in order for the examiner to  
consider the applicability of 35 U.S.C. § 103(c) and potential 35  
U.S.C. § 102(f) or (g) prior art under 35 U.S.C. § 103(a).

7. Claims 12, 23, and 27 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Cox et al. (1988) in view of Maassab et al. (1982), for the reasons of record set forth in the last Office action (Paper No. 17). Applicants' response did not contain any arguments. As previously set forth, Cox et al. (1988) provides methods for the production of live attenuated influenza A vaccines by genetic reassortment with a cold-adapted mutant. Reassortant viruses containing HA and NA genes from strains H1N1 and H3N2 were disclosed. This teaching additionally discloses that five or six internal genes were derived from the ca A/Ann Arbor/6/60 parental strain. Maassab et al. (1982) teaches that reassortants comprising six genes derived from one strain and two surface proteins derived from the wild-type parental strain were generated and that these viruses were attenuated and genetically stable (see abstract). The intranasal inoculation of the a vaccine composition comprising this strain was also described. This reassortant was unable to replicate in lung tissue and grew to low titers in the nasal turbinates as compared to wild-type. Therefore, it would have been *prima facie* obvious to one having ordinary skill in the art at the time the invention was made to produce a live Influenza A vaccine using cold-adapted parental strains and to incorporate these properties into a clinically relevant strain by mating and reassortant technology. One of ordinary skill in the art would have a reasonable expectation of succeeding because Cox and colleagues provide those mutations that are responsible for the cold-adapted phenotype. One of ordinary skill in the art could incorporate these changes into a helper virus to produce a safe, attenuated, vaccine strain.

***Finality of Office Action***

8. This is a continued prosecution application of applicants'

earlier filed application. All claims are drawn to the same invention claimed in the earlier application and could have been finally rejected on the grounds and art of record in the next Office action if they had been entered in the earlier application. Accordingly, **THIS ACTION IS MADE FINAL**. Applicant is reminded of the extension of time policy as set forth in 37 C.F.R. § 1.136(a).

**A SHORTENED STATUTORY PERIOD FOR RESPONSE TO THIS FINAL ACTION IS SET TO EXPIRE THREE MONTHS FROM THE DATE OF THIS ACTION. IN THE EVENT A FIRST RESPONSE IS FILED WITHIN TWO MONTHS OF THE MAILING DATE OF THIS FINAL ACTION AND THE ADVISORY ACTION IS NOT MAILED UNTIL AFTER THE END OF THE THREE-MONTH SHORTENED STATUTORY PERIOD, THEN THE SHORTENED STATUTORY PERIOD WILL EXPIRE ON THE DATE THE ADVISORY ACTION IS MAILED, AND ANY EXTENSION FEE PURSUANT TO 37 C.F.R. § 1.136(a) WILL BE CALCULATED FROM THE MAILING DATE OF THE ADVISORY ACTION. IN NO EVENT WILL THE STATUTORY PERIOD FOR RESPONSE EXPIRE LATER THAN SIX MONTHS FROM THE DATE OF THIS FINAL ACTION.**

#### ***Correspondence***

9. Correspondence related to this application may be submitted to Group 1600 by facsimile transmission. The faxing of such papers must conform with the notice published in the Official Gazette, 1096 OG 30 (November 15, 1989). Official communications should be directed toward one of the following Group 1600 fax numbers: (703) 308-4242 or (703) 305-3014. Informal communications may be submitted directly to the Examiner through the following fax number: (703) 305-7939. Applicants are encouraged to notify the Examiner prior to the submission of such documents to facilitate their expeditious processing and entry.

10. Any inquiry concerning this communication should be directed to Jeffrey S. Parkin, Ph.D., whose telephone number is (703) 308-2227. The examiner can normally be reached Monday through Thursday from 8:30 AM to 6:00 PM. A message may be left on the examiner's voice mail service. If attempts to reach the examiner are unsuccessful, the examiner's supervisors, Chris Eisenschenk, J.D.,

Serial No.: 08/573,569  
Applicant(s): Maassab et al.

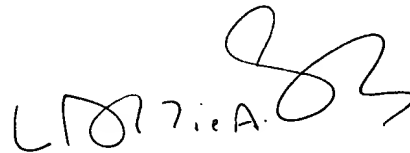
5 Ph.D., or Laurie Scheiner, can be reached at (703) 308-0452 or (703) 308-1122, respectively. Any inquiry of a general nature or relating to the status of this application should be directed to the Group 1600 receptionist whose telephone number is (703) 308-0196.

Respectfully,



Jeffrey S. Parkin, Ph.D.  
Patent Examiner  
Art Unit 1648

18 May, 1999



LAURIE SCHEINER  
PRIMARY EXAMINER